

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

BERRIOS, JILLIAN B.
Director of Ops / Confidential Assistant, Deputy Secretary of Defense, OSD
Date of Appointment: 09/11/2017

Other Federal Government Positions Held During the Preceding 12 Months: None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.
eSigned in FDM by:
JILLIAN B. BERRIOS
User ID: F5C6286F1C21D1A4
10/30/2017

Agency Ethics Official's Opinion - On the basis of information contained in this report. I conclude that the filer is in compliance with applicable laws and regulations(Subject to any comments below).
eSigned in FDM by:
Dani Irvine
User ID: 693F1B775EA98F74
12/21/2017

Other review conducted by
Supervisor:
eSigned in FDM by:
ANTHONY G. DEMARTINO
User ID: 1945CD01EA53F11B
12/18/2017

U.S. Office of Government Ethics Certification

Comments of Reviewing Officials (public annotations):

PART #		REFERENCE	COMMENT
2.	1.1	Bond Market Index Fund	(12/21/17, Irvine, Dani): VBMFX - Vanguard
2.	1.2	S&P 500 Index Fund	(12/21/17, Irvine, Dani): VOOV - Vanguard S&P 500 Value ETF
2.	1.3	International Index Fund	(12/21/17, Irvine, Dani): SWINX - Schwab International Index Inv
2.	1.4	Russell 2000 Index Fund	(12/21/17, Irvine, Dani): IWM - iShares Russell 2000

2.	1.6	U.S. Large Companies Fund	(12/21/17, Irvine, Dani): VFINX - Vanguard 500 Index Investor
2.	1.7	Boeing Stock Fund	(11/16/17, BERRIOS, JILLIAN B.): This fund primarily consists of Boeing stock.

1. Filer's Positions Held Outside United States Government

#	NAME	CITY, STATE	BOE TYPE	POSITION	FROM	TO
1	The Boeing Company	Chicago, IL, USA	Business Enterprise	Employee	07/2002	08/2017

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Boeing Voluntary Investment Plan (401k)	N/A	None (or less than \$1,001)		None (or less than \$201)
1.1	Bond Market Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	S&P 500 Index Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.3	International Index Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.4	Russell 2000 Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.5	Financial Engines Stable Value Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.6	U.S. Large Companies Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.7	Boeing Stock Fund	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
2	Boeing Common Stock (BA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	Boeing Pension	N/A	\$50,001 - \$100,000		None (or less than \$201)
4	Boeing Salary & Bonus	N/A		Salary	\$242558

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR OTHER PARTY TO AGREEMENT/ARRANGEMENT	CITY/STATE	STATUS AND TERMS	DATE
1	Boeing 401(k)	Chicago, IL, USA	Continuing participation in Employee Benefit Plan: I will continue to maintain this retirement plan with my former employer. Neither I nor Boeing continue to make contributions to this plan.	07/2002
2	Boeing Pension	Chicago, IL, USA	Continuing participation in Employee Benefit Plan: I will continue to participate in this Defined Benefit Plan	07/2002

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	The Boeing Company	Chicago, IL	Chief of Staff to Senior Vice President for Supply Chain & Ops business, Commercial Ai

5. Spouse's Employment Assets & Income and Retirement Accounts

This report has no reported **Assets**

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA (FBO)	No	None (or less than \$1,001)		None (or less than \$201)
1.1	U.S. Brokerage Account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2	Blackrock (BHYIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	Diamond Hill Large Cap (DHLRX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.4	Edgewood Growth Fund CL (EGFIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.5	Glenmede Small Cap (GTSCX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.6	Hartford Schrodgers Emerg (SEMNX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.7	ISHARES 1-3 Year (SHY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	ISHARES IBOX \$ (LQD)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.9	ISHARES TR CORE MSCI EAF (IEFA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.10	ISHARES US TREASURY BOND (GOVT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.11	John Hancock Disciplined Fund (JVLIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.12	MFS Corporate Bond Fund (MBDIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.13	OPPENHEIMER International Fund (OIGYX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.14	PIMCO Foreign Bond FD Fund (PFBPX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.15	TCW Total Return Fund (TGLMX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.16	The Oakmark Intl Fund (OAKIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.17	Vanguard Growth ETF Fund (VUG)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.18	Vanguard Value ETF (VTV)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
2	U.S Bank Accounts (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)

7. Transactions

This report has no reported **Transactions**

8. Liabilities

This report has no reported **Liabilities**

9. Gifts and Travel Reimbursements

This report has no reported **Gifts and Reimbursements**

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation. This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period. The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children. This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period. This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period. This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records

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